



15750 U.S. PTO

031004

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	MCD111 DIV
	First Inventor	Jeffrey J. NELSON
	Title	INTERCONNECTION ARCHITECTURE FOR MANAGING MULTIPLE LOW BANDWIDTH CONNECTIONS OVER A HIGH BANDWIDTH LINK
	Express Mail Label No.	EL533429706US

<b>APPLICATION ELEMENTS</b>	Commissioner for Patents Mail Stop Patent Application Alexandria, VA 22313-1450
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1. ☒ Fee Transmittal Form  
(submit an original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27

3. ☒ Specification [ total pages 34 ]  
(preferred Arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) [ total sheets 6 ]

5. ☒ Oath or Declaration [ total pages 4 ]

a. ☐ Newly executed (original or copy)

b. ☒ Copy from prior appl. (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. (See 37 CFR 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table  
or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Readable Form

b. ☐ Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statements verifying identity of above copies

<b>ACCOMPANYING APPLICATION PARTS</b>	
9. <input type="checkbox"/> Assignment Papers (coversheet/document(s))	
10. <input checked="" type="checkbox"/> 37 CFR. 3.73(b) Statement (when there is an assignee)	<input checked="" type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document	
12. <input checked="" type="checkbox"/> IDS & Form PTO/SB/08A	<input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment	
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)	
15. <input type="checkbox"/> Certified Copy of Priority Document(s)	
16. <input type="checkbox"/> Nonpublication Request Under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35	
17. <input checked="" type="checkbox"/> Other: Certificate of Mailing, Check for \$770.00	

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/502,947

Prior application information: Examiner: Thuan N. DU Group/Art Unit: 2185

FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>19. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer Number <b>25235</b> or <input type="checkbox"/> Correspondence address below					
Name					
Address					
City		State		ZIP	
Country		Telephone		Fax	

Name (Print/Type)	Carol W. Burton	Registration No.	35,465
(Signature)		Date	March 10, 2004

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**770.00**

Complete if Known

Application Number  
Filing Date **March 10, 2004**  
First Named Inventor **Jeffrey J. NELSON**  
Examiner Name  
Art Unit  
Attorney Docket No. **MCD111 DIV**

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit  
Account  
Number

**50-1123**

Deposit  
Account  
Name

**Hogan & Hartson LLP**

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	<b>\$770.00</b>
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

**(\$770.00)**

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
<b>11</b>	<b>-20 **</b>	<b>0</b>	<b>18.00</b>
Independent Claims	<b>3</b>	<b>-3 **</b>	<b>0</b>
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

**(\$0.00)**

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801 (RCE)	770	2801	385	Request for Continued Examination	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid (3)

SUBTOTAL

**(\$)**

## SUBMITTED BY

Name (Print/Type)	<b>Carol W. Burton</b>	Registration No. (Attorney/Agent)	<b>35,465</b>	Telephone	<b>303.454.2454</b>
Signature		Date	<b>March 10, 2004</b>		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.		Confirmation No.
Inventor:	Jeffrey J. NELSON, et al.	Title: INTERCONNECTION ARCHITECTURE FOR MANAGING MULTIPLE LOW BANDWIDTH CONNECTIONS OVER A HIGH BANDWIDTH LINK
Filed:	March 10, 2004	
TC/A.U.		
Examiner:		
Docket No.	MCD111 DIV	
Customer No.	25235	

CERTIFICATE OF MAILING BY EXPRESS MAIL

Mail Stop Patent Application  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the attached

1. Utility Patent Application Transmittal;
2. Specification (29 pages), Claims (4 pages), Abstract (1 page) and Drawings (6 sheets);
3. Fee Transmittal (2 copies);
4. Copy of Declaration (signed);
5. Copy of Power of Attorney by Assignee and Revocation of Previous Powers;
6. IDS and Form PTO/SB08/A;
7. Check for \$770.00;
8. Return Card; and

this Certificate of Mailing by Express Mail relating to the above application, were deposited as "Express Mail", Mailing Label No. EL533429706US with the United States Postal Service, addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 10, 2004.

March 10, 2004

March 10, 2004

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Mailer

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Carol W. Burton, Reg. 35,465  
Hogan & Hartson, LLP  
1200 Seventeenth Street, Suite 1500  
Denver, CO 80202  
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Facsimile: (303) 899-7333

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY

In re Application of:

Jeffrey J. NELSON, et al.

Serial No. 09/502,947

Filed: February 11, 2000

For: INTERCONNECTION  
ARCHITECTURE FOR MANAGING  
MULTIPLE LOW BANDWIDTH  
CONNECTIONS OVER A HIGH  
BANDWIDTH LINK

Examiner:

Art Unit: 2782

TRANSMITTAL OF POWER OF ATTORNEY  
& INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

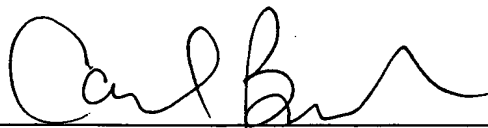
Sir:

Enclosed herewith is a Power of Attorney by Assignee and Revocation  
of Previous Powers, granted a new Power of Attorney to the listed attorneys,  
and directing all further correspondence in this case to the following:

William J. Kubida  
Hogan & Hartson LLP  
One Tabor Center  
1200 17<sup>th</sup> Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5909

Please contact the undersigned with any questions relating thereto.

Respectfully submitted,



March 6, 2001

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